JC10 Rec'd PCT/PTO 1 6 JUN 2005, PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**  ATTORNEY'S DOCKET NUMBER

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB2003/005351 2002 December 9, 2003 December 19,

TITLE OF INVENTION
A MODULAR SPRING MOUNTING FOR A SASH WINDOW COUNTERBALANCE ARRANGEMEN APPLICANT(S) FOR DO/EO/US
Harold Keith BRAID et al
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))
a. 🔀 is attached hereto (required only if not communicated by the International Bureau).
b. x has been communicated by the International Bureau.
c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
a. Lis attached hereto.
b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
a. are attached hereto (required only if not communicated by the International Bureau).
b. have been communicated by the International Bureau.
c. have not been made; however, the time limit for making such amendments has NOT expired.
d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
Items 11 to 20 below concern document(s) or information included:
An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO/SB/08A and 4 refs.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Cother items or information: PCT/IB/308

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JC17 Rec'd PCT/PTO 16 JUN 2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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			INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
			PCT/GB2003/005351		231/1/146		
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21. 😡 Basic national fee\$300					\$ 300		
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400		
TOTAL OF 21, 22 and 23 =					\$ 900		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					3.3.4		
Total Sheets	Extra Sheets	Number of each a thereof (round up	dditional 50 or fraction to a whole number)	RATE			
- 100 =	/50 =			x \$250	\$ 0		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	1 1:	2 - 20 =	0	× \$ 50	\$ 0		
		3 -3=	0	x \$200	\$ 0		
MULTIPLE DEPE	NDENT CLAIM(S	(if applicable)		+ \$360	\$ 0		
			TOTAL OF ABOVE	CALCULATIONS =	\$ 900		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					450		
SUBTOTAL =					\$ 450		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0			
			TOTAL	NATIONAL FEE =	\$ 450		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 0		
			TOTAL F	EES ENCLOSED =	\$ 450		
				Amount to be refunded:	\$		
					Amount to be charged:	\$ <b>4</b> 50	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1524 duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.							
SEND ALL CORF	SEND ALL CORRESPONDENCE TO:						
Richard M. Goldberg					11/4/07		
25 East Salem Street, Suite 419					_	0	
Hackensack, NJ 07601 Richard M.						<del>rg</del>	
28,215							
	REGISTRATION NUMBER						

# Law Offices of JC17 Rec'd PCT/PTO 16 JUN 2005

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MEMBER NEW JERSEY AND NEW YORK BARS

June 16, 2005

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: Entering National Stage in

(Name of Registered Representative and person mailing)

PCT Application No. PCT/GB2003/005351

Applicants: Harold Keith BRAID et al

Title : A MODULAR SPRING MOUNTING FOR A

SASH WINDOW COUNTERBALANCE

ARRANGEMENT

My File : 231/1/146

### Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": ED 911136065 US	
Date of Deposit : JUNE 16, 2005	
I hereby certify that this paper and/or fee is being deposited with	
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Addressee" service under 37 C.F.R. 1.10 on the date indicated above	
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P.O. Box 1450, Alexandria, VA 22313-1450 on JUNE 16, 2005.	+
$M/M_{\odot}$ $M_{\odot}$ $M_{\odot}$ $M_{\odot}$ $M_{\odot}$ $M_{\odot}$	Ture
Richard M. Goldberg Rectured II Holders	
(Name of Registered Representative (Signature and Date)	20

Dear Sirs:

Transmitted herewith for filing in the U.S. Patent and Trademark Office (entering the national phase in a PCT application (PCT/GB2003/005351) (WO 2004/057140) is a new application including:

a) Form PTO-1390 (in duplicate), with authorization to charge \$450.00 to credit card, along with Credit Card Payment Form (PTO-2038);

Commissioner for Patents
June 16, 2005
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- b) Form PCT/IB/308 (mailed July 8, 2004) entitled NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES, indicating that the original PCT application was transmitted by the International Office to the U.S. Patent and Trademark Office 1 page;
- c) Original filed application of twenty-five (25) pages with thirteen (13) claims and seven (7) sheets of formal drawings (Figs. 1-10B);
- d) Two front pages of published PCT application;
- e) Application Data Sheet;
- f) Form PCT/ISA/210 International Search Report performed by the European Patent Office;
- g) Preliminary Amendment; and
- h) Information Disclosure Statement, one Form PTO/SB/08A and four references.

The executed Declaration and Power of Attorney will be filed in response to a Notification of Missing Requirements.

## APPLICANTS QUALIFY FOR SMALL ENTITY STATUS.

It is respectfully requested that the above-identified PCT application be granted a filing date within the June 19, 2005 convention term (30 months), based on PCT Application No. PCT/GB2003/005351, filed December 19, 2003, and on Great Britain Application No. 0229565.7, filed December 19, 2002.

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 07-1524.

Law Offices of

Commissioner for Patents June 16, 2005 Page 3

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The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1524.

Respectfully submitted,

Richard M. Goldberg

Registration No. 28,215

RMG:mz enclosures